

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

(Use as many sheets as necessary)

Sheet	1	of	1
-------	---	----	---

**Complete if Known**

Application Number	10/764,621
Filing Date	January 26, 2004
First Named Inventor	Rolando M. Puno
Art Unit	3736
Examiner Name	Unknown
Attorney Docket Number	4002-3474/PC1008.00

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	7 <sup>d</sup>
		Country Code <sup>3</sup> *Number <sup>4</sup> *Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			
DC		EP 0 637 439 A1	08.02.95	Karlın Technology, Inc.		
DC		EP 1 295 578 A2	26.03.2003	DePuy AcroMed, Inc.		
DC		EP 1 323 396 A2	02.07.2003	DePuy AcroMed, Inc.		

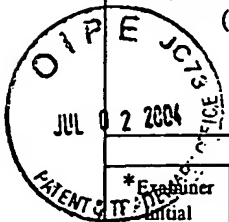
Examiner Signature	/David Comstock/	Date Considered	01/07/2007
-----------------------	------------------	--------------------	------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.**

IDS - 07/02/2004


**INFORMATION DISCLOSURE CITATION**  
 (Use several sheets if necessary)

Sheet 1 of 4

Atty. Docket No. 4002-3474/PC1008.00  
 Serial No. 10764.621  
 Applicant Rolando M. Puno et al.  
 Filing Date January 26, 2004  
 Group 3736

**U.S. PATENT DOCUMENTS**

*Examiner Initial		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	Filing Date If appropriate
DC	1	3,486,505	12/30/69	Morrison			
	2	4,386,603	6/7/83	Mayfield			
	3	4,736,738	4/12/88	Lipovsek et al.			
	4	4,898,161	2/6/90	Grundei			
	5	4,955,885	9/11/90	Meyers			
	6	5,122,130	6/16/92	Keller			
	7	5,192,327	3/9/93	Brantigan			
	8	5,235,966	8/17/93	Jamner			
	9	5,431,658	7/11/95	Moskovich			
	10	5,505,732	4/9/96	Michelson			
	11	5,599,279	2/4/97	Slotman et al.			
	12	5,697,889	12/16/97	Slotman et al.			
	13	5,722,977	3/3/98	Wilhelmy			
	14	5,944,658	8/31/99	Koros et al.			
	15	6,004,326	12/21/99	Castro et al.			
	16	6,083,225	7/4/00	Winslow et al.			
	17	6,096,038	8/1/00	Michelson			
	18	6,174,311	1/16/01	Branch et al.			

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							Yes	No
DC	1	EP 0 531 710 A2	03/1993	EP				

Examiner /David Comstock/  
 Date Considered 01/07/2007

\*Examiner: initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

004002-003474.DAC.290027



INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)				Any. Docket No. 4002-3474/PC1008.00		Serial No. 10/764,621		
Sheet 2 of 4				Applicant Rolando M. Puno et al.				
				Filing Date January 26, 2004		Group 3736		
U.S. PATENT DOCUMENTS								
*Examiner Initial		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	Filing Date If appropriate	
DC	19	6,179,873	1/30/01	Zientek				
	20	6,224,599	5/1/01	Baynham et al.				
	21	6,224,607	5/1/01	Michelson				
	22	6,261,296	7/17/01	Aebi et al.				
	23	6,270,498	8/7/01	Michelson				
	24	6,332,887	12/25/01	Knox				
	25	6,395,034	5/28/02	Suddaby				
	26	6,436,101	8/20/02	Hamada				
	27	6,478,800	11/12/02	Fraser et al.				
	28	6,514,260	2/4/03	Zdeblick et al.				
	29	6,565,574	5/20/03	Michelson				
	30	6,595,995	7/22/03	Zdeblick et al.				
	31	6,610,065	8/26/03	Branch et al.				
	32	6,635,060	10/21/03	Hanson et al.				
	33	6,652,533	11/25/03	O'Neil				
	34	6,641,582	11/4/03	Hanson et al.				
	35	US01/0010001	7/26/01	Michelson				
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							Yes	No
Examiner /David Comstock/				Date Considered 01/07/2007				
*Examiner: initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)				Atty. Docket No. 4002-3474/PC1008.00		Serial No. 10764,621		
Sheet 3 of 4				Applicant Rolando M. Puno et al.				
				Filing Date January 26, 2004		Group 3736		
<b>U.S. PATENT DOCUMENTS</b>								
* Examiner Initial		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	Filing Date If appropriate	
DC	36	US02/0082695	6/27/02	Neumann				
	37	US02/0116009	8/22/02	Fraser et al.				
	38	US02/0138078	9/26/02	Chappuis				
	39	US02/0177897	11/28/02	Michelson				
	40	US03/0028197	2/6/03	Hanson et al.				
	41	US03/0045884	3/6/03	Robie et al.				
	42	US03/0083664	5/1/03	Rogers et al.				
	43	US03/0130737	7/10/03	McGahan et al.				
	44	US03/0149438	8/7/03	Nichols et al.				
	45	US03/0187448	10/2/03	Michelson				
	46	US03/0195520	10/16/03	Boyd et al.				
	47	US03/0195517	10/16/03	Michelson				
	48	US04/0002758	1/1/04	Landry et al.				
<b>FOREIGN PATENT DOCUMENTS</b>								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							Yes	No
Examiner /David Comstock/				Date Considered 01/07/2007				
*Examiner: initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT***(use as many sheets as necessary)*

Sheet 4 of 4

**Complete if Known**

Application Number	10/764,621
Filing Date	January 26, 2004
First Named Inventor	Rolando M. Puno
Group Art Unit	3736
Examiner Name	
Attorney Docket Number	4002-3474/PC1008.00

**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
DC	1	SYNTHES, SynCage Surgical Technique; Mathys Medical Ltd - Osteosynthesis.; pages 1-24; Guterstrasse 5, P.O. Box CH-2544 Bettlach, SWITZERLAND	

Examiner  
Signature

/David Comstock/

Date  
Considered

01/07/2007

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comment on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231